

#4

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
12401

In/Re Application Of: Thomas Layne BASCOM

Serial No.
New ApplicationFiling Date
HerewithExaminer
n/aGroup Art Unit
n/a

Title: A METHOD AND SYSTEM FOR MAKING DOCUMENT OBJECTS AVAILABLE TO USERS OF A

Address to:
Assistant Commissioner for Patents
Washington, D.C. 2023111002 U.S. PTO
10/090740
03/06/02**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
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Signature

Dated: March 6, 2002

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CC:

11002 U.S. PTO
10/090740
03/06/02

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <div style="text-align: center;">12401</div>		Application Number <div style="text-align: center;">New Application</div>	
	Applicant(s) <div style="text-align: center;">Thomas Layne BASCOM</div>			
	Filing Date <div style="text-align: center;">Herewith</div>		Group Art Unit <div style="text-align: center;">n/a</div>	

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,209,036		B. Aldred et al.			
		6,112,202		J. Kleinberg			
		6,049,799		S. Mangat et al.			
		5,940,831		H. Takano			
		5,933,827		G. Cole et al.			
		5,895,470		P. Pirolli et al.			
		5,608,900		M. Dockter et al.			
		6,145,000		B. Stuckman et al.			
		6,073,135		A. Broder et al.			
		6,037,935		C. Bates et al.			
		6,035,325		R. Potts			

11002 U.S. PTO
 10/090740
 03/06/02

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Goldfarb, Charles F., et al., "The XML Handbook", Prentice Hall PTR, 2001., The handbook in its entirety.
		DeRose, Steve, et al., "XML Linking Language (XLink) Version 1.0", www.w3.org/TR/xlink/, June 27, 2001, p.p. 1-34.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	Filing Date Herewith	Group Art Unit n/a

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*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,940,834		D. Pinard et al.			
		5,999,929		Goodman			
		6,182,113		Narayanaswami			
		6,182,072		Leak et al.			
		6,236,991		Frauenhofer et al.			
		6,226,655		Bowman et al.			
		5,966,126		Szabo			
		5,943,070		Prager			
		6,092,074		Rodkin et al.			
		6,009,410		Le Mole et al.			
		6,185,614		Cuomo et al.			

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						YES	NO

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